APPENDIX A: UCSB Local Response Procedures for Sexual Violence Sexual Harassment Incidents involving Student Respondents

Confidential Resources (CARE, Ombuds, CAPS) are available to provide information about on- and off-campus resources, reporting options, and rights.

Stage 1: Reporting & Review

- Title IX does not initiate resolution process; END

Title IX receives report, conducts outreach and conducts initial assessment based on available information. Based on this assessment, Title IX determines how to proceed.

Stage 2: Title IX Response Options

- Title IX proceeds with alternative resolution with the agreement of the parties instead of investigation.
- Title IX Investigation: Notice of Charges, Evidence Collection, Evidence Review, Report

Within 60-90 business days of charge notice, Title IX distributes investigative report with preliminary determination of policy violation; copies distributed to the parties and Student Conduct.

Stage 2.5: Pre-determination & Proposed Sanction

- Parties have equal opportunity to contest the preliminary determination and request a hearing. If the sanction is suspension or dismissal, it will be presumed that the respondent will contest unless they waive this right.
- Preliminary determination is final; sanction is imposed

Hearing Coordinator offers the parties an opportunity to participate in a separate pre-hearing meeting to determine hearing scope & proposed evidence; this meeting is conducted in person and participation is required. Parties must submit a preliminary statement to the Hearing Officer no later than 5 business days before the pre-hearing meeting.

Stage 3: Opportunity to Contest

- No appeal submitted; END

Neither Party contests or in Suspension/Dismissal case, Respondent

Preliminary determination is final, unless the other party contested. If so, hearing will continue based on scope defined by participating party.

Parties do not participate in a pre-hearing meeting

Hearing Coordinator will attempt to reschedule with the non-participating party who did not appear.

Within 2 business days of outreach, non-participating party must respond or it will be presumed that they no longer wish to contest and no hearing will be conducted.

Stage 4: Hearing

Hearing Coordinator receives investigative report and within 15 days proposes sanction based on Title IX pre-determination. If parties wish to meet with Student Conduct or submit a written response to provide input on sanction, they must submit their intent to do so within 3 business days of the distribution of investigative report.

Stage 5: Appeal

- No appeal submitted; END

Appeal submitted to Appeal Officer

Parties have the right to appeal on limited grounds, including the sanction (if any). Appeal must be submitted within 10 business days of the distribution of Hearing Officer’s determination.

Parties can meet with Appeal Officer or submit a written statement regarding the appeal

Appeal Officer decides outcome of appeal and distributes a written decision to parties.

END